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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 114104-10
In Re Application Of: Anthony J. Baerlocher et al.			
Serial No. 10/659,092	Filing Date September 9, 2003	Examiner Unknown	Group Art Unit 3713
Title: GAMING DEVICE HAVING MULTIPLE AWARD REELS AND MOVING INDICATOR			
<div style="border: 1px solid black; border-radius: 50%; width: 150px; height: 150px; margin: 0 auto; display: flex; align-items: center; justify-content: center;"><div style="text-align: center;">OFFICE MAY 17 2004 PATENT & TRADEMARK OFFICE</div></div> <div style="text-align: center; margin-top: 20px;">Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</div> <div style="text-align: center; margin-top: 20px;">37 CFR 1.97(b)</div> <div>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</div> <div style="text-align: center; margin-top: 40px;">37 CFR 1.97(c)</div> <div>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</div> <div style="margin-left: 40px; margin-top: 20px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</div> <div style="text-align: center; margin-top: 20px;">OR</div> <div style="margin-left: 40px; margin-top: 20px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</div>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.

114104-10

In Re Application: Anthony J. Baerlocher et al.

Serial No.

10/659,092

Filing Date

September 9, 2003

Examiner

Unknown

Group Art Unit

3713

GAMING DEVICE HAVING MULTIPLE AWARD REELS AND MOVING INDICATOR**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
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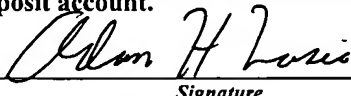
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*This certificate may only be used if paying by deposit account.



Signature

Dated: May 13, 2004

Adam H. Masia (Reg. No. 35,602)

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Chicago, IL 60690-1135

Phone: 312-807-4284

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Anthony J. Baerlocher et al.
Appl. No.: 10/659,092
Conf. No.: 4316
Filed: September 9, 2003
Title: GAMING DEVICE HAVING MULTIPLE AWARD REELS AND MOVING
INDICATOR
Art Unit: 3713
Examiner: Unknown
Docket No.: 114104-010

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
Des. 400,597	November 3, 1998	Hedrick et al.
Des. 402,702	December 15 1998	Seelig et al.
Des. 406,865	March 16, 1999	Heidel
Des. 441,031 S	April 24, 2001	Seelig et al.
Des. 443,313 S	June 5, 2001	Brettschneider
Des. 465,531 S	November 12, 2002	Luciano, Jr. et al.
2,545,644	March 20, 1951	Benton et al.
4,182,515	January 8, 19880	Nemeth
4,410,178	October 18, 1983	Partridge
4,624,459	November 25, 1986	Kaufman
4,695,053	September 22, 1987	Vazquez, Jr. et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,732,386	March 22, 1988	Rayfiel
4,991,848	February 12, 1991	Greenwood et al.
5,116,055	May 26, 1992	Tracy
5,152,529	October 6, 1992	Okada
5,364,100	November 15, 1994	Ludlow et al.
5,395,111	March 7, 1995	Inoue
5,449,173	September 12, 1995	Thomas et al.
5,456,465	October 10, 1995	Durham
5,542,669	August 6, 1996	Charron et al.
5,560,603	October 1, 1996	Seelig et al.
5,584,763	December 17, 1996	Kelly et al.
5,584,764	December 17, 1996	Inoue
5,609,524	March 11, 1997	Inoue
5,664,998	September 9, 1997	Seelig et al.
5,711,525	January 27, 1998	Breeding
5,752,881	May 19, 1998	Inoue
5,823,872	October 20, 1998	Prather et al.
5,823,874	October 20, 1998	Adams et al.
5,833,538	November 10, 1998	Weiss
5,848,932	December 15, 1998	Adams
5,851,147	December 22, 1998	Stupak et al.
5,873,781	February 23, 1999	Keane
5,882,261	March 16, 1999	Adams
5,911,418	June 15, 1999	Adams et al.
5,927,714	July 27, 1999	Kaplan
5,938,529	August 19, 1999	Rodesch, et al.
5,976,015	November 2, 1999	Seelig et al.
6,004,207	December 21, 1999	Wilson, Jr. et al.
6,015,346	January 18, 2000	Bennett
6,059,658	May 9, 2000	Mangano et al.
6,086,066	July 11, 2000	Takeuchi et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,089,976	July 18, 2000	Schneider et al.
6,089,977	July 18, 2000	Bennett
6,089,978	July 18, 2000	Adams et al.
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6,105,962	Aug. 22, 2000	Malavazos et al.
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6,220,593 B1	April 24, 2001	Pierce et al.
6,224,483 B1	May 1, 2001	Mayeroff
6,227,970 B1	May 8, 2001	Shimizu et al.
6,251,013 B1	June 26, 2001	Bennett
6,254,481 B1	July 3, 2001	Jaffe
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6,267,669 B1	July 31, 2001	Luciano Jr. et al.
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6,302,790 B1	October 16, 2001	Brossard
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6,336,863 B1	January 8, 2002	Baerlocher et al.
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<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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6,398,220 B1	June 4, 2002	Inoue
6,419,579 B1	July 16, 2002	Bennett et al.
6,461,241 B1	October 8, 2002	Webb et al.
6,481,713 B2	November 19, 2002	Perrie et al.
6,533,660 B2	March 18, 2003	Seelig et al.
6,537,152 B2	March 25, 2003	Seelig et al.
6,582,307 B2	June 24, 2003	Webb
6,609,972 B2	August 26, 2003	Seelig et al.

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GB 2 383 668	July 2, 2003	Great Britain

OTHER DOCUMENTS

4DU Dice Unit advertisement written by starpoint.uk.com, printed on September 3, 2002.

American Bandstand brochure written by Anchor Games, published in 2001.

A Salute to Game Shows-The Price is Right-Pricing Games, taken from <http://benschumin.simplenet.com/game-shows/shows/price-is-right/pricing-games-4.htm>, printed on August 16, 2000.

Big Shot!™ advertisement published by Aristocrat Technologies, Inc., published in 2002.

Big Top Keno advertisement published by Aristocrat Technologies, Inc., published in 2000.

Bonus Roulette brochure written by R. Franco, published prior to September 9, 2003.

Buck's Roulette brochure written by R. Franco, published prior to September 9, 2003.

Chariot's of Fortune brochure written by R. Franco, published prior to September 9, 2003.

Classic Pot of Gold brochure written by Ace Coin Equipment Ltd., published prior to September 9, 2003.

Clock Game description, taken from [URL:http://gscentral.net/clock.htm](http://gscentral.net/clock.htm), printed on August 18, 2000.

Cyberdyne Gaming brochure written by Cyberdyne Gaming, published prior to September 9, 2003.

Elvira® Mistress of the Dark™ advertisement written by IGT, published in 2002.

Elvis Hits advertisement written by IGT, published in 1999.

Jack and the Beanstalk™ brochure written by AC Coin & Slot, published prior to September 9, 2003.

Jackpot Party brochures and articles, published by WMS Gaming Inc., 1998.

Jackpot Party, taken from www/wmsgaming.com, printed on February 7, 2001.

King of the Grill™ brochure written by AC Coin & Slot, published prior to September 9, 2003.

Line-Up brochure written by AC Coin & Slot, published prior to September 9, 2003.

Little Green Men Jr.™ advertisement written by AC Coin & Slot, published prior to September 9, 2003.

Little Green Men Jr.™ article written by Strictly Slots, published in February 2003.

Miss America brochure written by AC Coin & Slot, published prior to September 9, 2003.

Mix and Match article written by Strictly Slots, published in April 2002.

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Money Grab article written by Strictly Slots, published in April 2001.

Monster Match article written by Strictly Slots, published in January 2002.

On The Money! article written by Strictly Slots, published in December 2000.

Payout!™ article written by Casino Data Systems, published prior to September 9, 2003.

Pick a Prize brochure written by Acres Gaming Incorporated, published prior 2001.

Power Slotto brochure published by AC Coin & Slot prior to 2002.

Press Your Luck brochure published by AC Coin & Slot prior to 2002.

Quick Pick Paytime brochure written by Acres Gaming Incorporated, published prior to 2001.

R&B™ brochure published by AC Coin & Slot, published prior to September 9, 2003.

Reel Dice advertisement written by Gerber & Glass, published in 1936.

Royal Roulette brochure written by Impulse Gaming Ltd., published prior to September 9, 2003.

Schwartz, David, Ryan, Steve, and Wostbrock, Fred. The Encyclopedia of TV Game Shows. Third Ed. Checkmark Books, New York: 1999 pages 176-180.

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Silver City Roundup brochure published by AC Coin & Slot, published prior to September 9, 2003.

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Slot Machines on Parade, 1st edition written by Robert N. Geddes and illustrated by Daniel R. Mead, published in 1980.

Slots 2003 written by Melissa Raimondi, published by Strictly Slots in January 2003, pp 54-57.

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Ten Chances game description, taken from <http://gscentral.net/10chan.htm>, printed on March 16, 2003.

The Price is Right brochure written by IGT, in 2001.

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The Price is Right Plinko advertisement written Strictly Slots, published in December 2001.

The Price is Right Video Slots advertisement written by IGT, published in 2001.

The Price is Right Video Slots advertisement written by IGT, published in 2001.

Welcome To Video Reality brochure written by Atronic Casino Technology Ltd., published in 1995.

Yahtzee Bonus advertisement written by Mikohn, published in 1999.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



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Dated: May 13, 2004

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) MAY 17 2004 PTO Form 1449	Atty Docket No. 114104-010	Application No. 10/659,092
	Applicants Anthony J. Baerlocher et al.	
	Filing Date September 9, 2003	Group 3713

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	Des. 400,597	November 3, 1998	Hedrick et al.			
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	4,624,459	November 25, 1986	Kaufman			
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	4,732,386	March 22, 1988	Rayfiel			
	4,991,848	February 12, 1991	Greenwood et al.			
	5,116,055	May 26, 1992	Tracy			
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	5,542,669	August 6, 1996	Charron et al.			
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	5,584,763	December 17, 1996	Kelly et al.			
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	5,609,524	March 11, 1997	Inoue			
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	5,711,525	January 27, 1998	Breeding			
	5,752,881	May 19, 1998	Inoue			
	5,823,872	October 20, 1998	Prather et al.			

Examiner:	Date Considered:
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	5,823,874	October 20, 1998	Adams et al.			
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	6,203,429 B1	March 20, 2001	Demar et al.			
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FOREIGN PATENT DOCUMENTS					
Examiner's	Document	Publication			Translation

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	Little Green Men Jr.™ article written by Strictly Slots, published in February 2003.
	Miss America advertisement written by AC Coin & Slot, published prior to September 9, 2003.
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	Schwartz, David, Ryan, Steve, and Wostbrock, Fred. The Encyclopedia of TV Game Shows. Third Ed. Checkmark Books, New York: 1999 pages 176-180.
	Slot Machine Buyer's Handbook, A Consumer's Guide to Slot Machines written by David L. Saul and Daniel R. Mead, published in 1998.
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 114104-010	Application No. 10/659,092
	Applicants Anthony J. Baerlocher et al.	
	Filing Date September 9, 2003	Group 3713

Examiner's Initials	OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
	The Price is Right advertisement written by IGT, published in 2001.
	The Price is Right article, taken from www.curtalliaume.com/tpir.html , published on July 18, 2002.
	The Price is Right Plinko advertisement written Strictly Slots, published in December 2001.
	The Price is Right Video Slots advertisement written by IGT, published in 2001.
	The Price is Right Video Slots advertisement written by IGT, published in 2001.
	Welcome To Video Reality advertisement written Atronic Casino Technology Ltd., published in 1995.
	Yahtzee Bonus advertisement written by Mikohn, published in 1999.

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